Induction Session: 
Course Selection 
(2017-18 Intake)

Dr. K. S. Maurice Tse 
School Undergraduate Programme Director 
August 10, 2017
Welcome to SEF!
BEcon / BEcon&Fin / BFin(AMPB) Programme Orientation
12:00 nn – 1:00 pm
KK201, 2/F, KK Leung Building

BSc(QFin) Programme Orientation
12:00 nn – 1:00 pm
KK202, 2/F, KK Leung Building
Our common goal

- All of our students deserve to get the most from our curriculum and graduate with flying colours.

- Regulations and requirements are set to guide you but at the same time to allow flexibility to meet your individual needs.
Coverage:

- Degree components
- Programme requirements
- English Proficiency Tests
- Course selection
- Majors and Minors
- Other information
<table>
<thead>
<tr>
<th>Acronyms</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>HKU</td>
<td>The University of Hong Kong</td>
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<tr>
<td>FBE</td>
<td>Faculty of Business and Economics</td>
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<tr>
<td>SEF</td>
<td>School of Economics and Finance</td>
</tr>
<tr>
<td>BCon (or BE)</td>
<td>Bachelor of Economics</td>
</tr>
<tr>
<td>BCon&amp;Fin (or BEF)</td>
<td>Bachelor of Economics and Finance</td>
</tr>
<tr>
<td>BFin(AMPB)</td>
<td>Bachelor of Finance in Asset Management and Private Banking</td>
</tr>
<tr>
<td>BSc(QFin)</td>
<td>Bachelor of Science in Quantitative Finance</td>
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</tbody>
</table>
Degree Components

Must be satisfied in order to graduate:

- **University requirements**
  - UG 5 Requirements

- **Programme requirements**
  - BEcon, BEcon&Fin, BFin(AMPB), or BSc(QFin)

- **Free electives**
  - any course except Common Core Courses
Degree Components: UG 5 Requirements

English Language Enhancement (12 credits)

Chinese Language Enhancement (6 credits)

HKU Common Core Curriculum (36 credits)

Total: 54 credits
# Degree Components: UG 5 Requirements

## English Language Enhancement (12 credits)

### CAES1000 Core University English English (6 credits)

- to be taken in Year 1, 1\textsuperscript{st} or 2\textsuperscript{nd} semester

<table>
<thead>
<tr>
<th>Exemption</th>
<th>Criterion</th>
</tr>
</thead>
<tbody>
<tr>
<td>CAES test</td>
<td>Native English Speaker Standard</td>
</tr>
<tr>
<td>HKDSE</td>
<td>English Language Paper, attained Level 5**</td>
</tr>
<tr>
<td>IELTS</td>
<td>Overall score $\geq 7.5$ and $\geq 7$ in Reading, Speaking, Listening and Writing</td>
</tr>
<tr>
<td>TOEFL Internet Based Test</td>
<td>Overall score $\geq 102$ and $\geq 27$ in the writing section and $\geq 24$ in the speaking, listening and reading sections</td>
</tr>
<tr>
<td>IB</td>
<td>$\geq$ Level 5 in A1 English (either SL or HL paper)</td>
</tr>
<tr>
<td>SAT</td>
<td>Essay score $\geq 10$ and Critical Reading and Writing Tests $\geq 700$</td>
</tr>
<tr>
<td>AP (Advanced Placement)</td>
<td>English Language and Composition or Literature and Composition Tests $\geq 5$</td>
</tr>
</tbody>
</table>

- If exempted, you must take a 6-credit elective course in lieu of this course
Degree Components: UG 5 Requirements

English Language Enhancement (12 credits)

CAES9920 Academic Communication for Business and Economics (6 credits)

- to be taken in Year 2, 1\textsuperscript{st} or 2\textsuperscript{nd} semester \textbf{(BE, BEF, AMPB)}
- to be taken in Year 2, 2\textsuperscript{nd} semester \textbf{(QFin)}
Chinese Language Enhancement (6 credits)

CBBA9003 Practical Chinese for BEcon/BEcon&Fin/BSc(QFin)/BFin(AMPB) students (6 credits)
  ◦ to be taken in Year 3, 1st semester

- For students from the mainland, instead of CBBA9003:
  ◦ CUND9002 Practical Chinese and Hong Kong Society OR
  ◦ CUND9003 Cantonese for non-Cantonese speaking students

- Students who did not study Chinese language during their secondary school education:
  ◦ can apply to Faculty Office for exemption from CBBA9003;
  ◦ need to take a credit-bearing Cantonese or Putonghua course offered by the School of Chinese for international students
HKU Common Core Curriculum (CCC)

Categorized under 4 Areas of Inquiry (AoI):

- Scientific and Technological Literacy
- Humanities
- Global Issues
- China: Cultural, State and Society

Students must complete a total of 36 credits of CCC during the first three years of study:

- at least 6 credits and not more than 12 credits in each AoI
- not more than 24 credits within one academic year

Common Core courses CANNOT be taken as free electives.

Common Core Curriculum
Coverage:

- Degree components
- Programme requirements
Overview
You need to take these courses in Year 1:

- Introductory Microeconomics (6 credits)
- Introductory Macroeconomics (6 credits)
- Introduction to Financial Accounting (6 credits)
- Corporate Finance (6 credits)
- Mathematics requirement (6 credits)
- Statistics requirement (Year 2, 1st Sem) (6 credits)
- Free elective (6 credits)

3 Common Core Courses (3 x 6 credits)
Mathematics Requirement

All BE/BEF/AMPB students have to pass either:

- MATH1009 Basic Mathematics for Business and Economics  
  or
- MATH1013 University Mathematics II

Students who have taken courses equivalent to MATH1009 or MATH1013:

- exemption from the mathematics requirement; and
- must take a free elective of the same credit value in lieu of this course
Mathematics Requirement

By default, BE/BEF/AMPB students are expected and strongly recommended to take MATH1009:

- Students may enroll by themselves
- The course has no pre-requisite, but students are expected to have already achieved Level 2 or above in HKDSE Mathematics or equivalent.
- Not for students who have passed MATH1011 or MATH1013, or have already enrolled in these courses.
- Course details
Mathematics Requirement

Students may choose to take MATH1013, a much more challenging course that requires stronger mathematics background:

- Pre-requisite requirements: Level 2 or above in Module 1, or Module 2 of HKDSE Mathematics or equivalent, or Pass in MATH1009 or MATH1011
  - Those who have satisfied the pre-requisite requirements may enroll by themselves

- For non-JUPAS, international and mainland students:
  - To enroll in MATH1013, you must seek approval on or before August 29, 2017 by submitting the Math Course Selection Form (obtainable from FBE) to:
    - Miss Cobe Fan of FBE (cobefan@hku.hk)
    - Course details
Mathematics Requirement

Students may choose to take MATH1013, a much more challenging course that requires stronger mathematics background:

- For mainland students who took the Art Stream in Gao Kao (and students with qualifications listed under “Others” in the form), please bring along the syllabus of your exams to the following Math course instructors during office hours for endorsement before submitting the completed Form (with signature of the instructor) to FBE:
  - For mainland students: Dr. C.W. Wong, Dept of Mathematics, Rm. 313, Run Run Shaw Building
  - For non-JUPAS students: Dr. Y.M. Chan, Dept of Mathematics, Rm. 312, Run Run Shaw Building
Mathematics Requirement

If you are unsure which mathematics course to take, please consult the following teachers:

- Dr. Y.M. Chan (ymchan@maths.hku.hk), MATH course instructor
- Dr. C.W. Wong (cwwongab@hku.hk), MATH course instructor
- Dr. Maurice Tse (ktse@hku.hk), SEF UG Programme Director
Statistics Requirement

All BE/BEF/AMPB students have to pass the following statistics course:

- **STAT1603 Introductory Statistics**
- **ECON1280 Analysis of Economic Data** *

*ECON1280 will not be offered starting from 2016-17.

All students in the 2017-18 intake will take STAT1603.

Special Consideration !!
Statistics Requirement

If you plan to **second major in Statistics or Risk Management**

- **STAT2601 Probability and statistics I** is a second major core course requirement.

If you plan to **minor in Statistics or Risk Management**

- You can use **STAT1603** to fulfill part of the introductory requirement of the minor, **BUT** the choice of advanced-level statistics courses will be limited.
- If you take **STAT1603 (AND NOT STAT2601)**, you may find it difficult to understand the advanced-level statistics courses if you pursue minor in Statistics or Risk Management

**STAT1603 and STAT2601 are mutually exclusive.**

- If you have taken **STAT1603** and would like to take **STAT2601** for your second major/minor in Statistics or Risk Management, you will need special approval from the Statistics Dept to take **STAT2601**.

[Course details of STAT2601]
Statistics Requirement

Waiver of Statistics Requirement

- Students who have taken STAT2601 before they have taken STAT1603 may apply for waiver of the Statistics Requirement, subject to approval by the UG Programme Director.
Coverage:

- Degree components
- Programme requirements
- English Proficiency Tests
All SEF students are required to take the English Proficiency Test as a graduation requirement:

- Entrance test in Year 1
- Exit test in the final year of study

Entrance Test for Freshmen in 2017-18:

Tests will be held in mid-October 2017

Test details to be confirmed by email
Coverage:

- Degree components
- Programme requirements
- English Proficiency Tests
- Course selection
## Suggested Order of Study: B Econ Programme

<table>
<thead>
<tr>
<th>Year 1</th>
<th>Year 2</th>
<th>Year 3</th>
<th>Year 4</th>
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</thead>
<tbody>
<tr>
<td>Course</td>
<td>Credits</td>
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<tr>
<td>Semester One</td>
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<td>CCxxxxxx Common Core (1)</td>
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<td>CCxxxxxx Common Core (4)</td>
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<tr>
<td>CCxxxxxx Common Core (2)</td>
<td>6</td>
<td>CAES9920 Academic Communication for Business and Economics</td>
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<tr>
<td>ACCT1101 Introduction to Financial Accounting (FCC)</td>
<td>6</td>
<td>Statistics requirement (FCC)</td>
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</tr>
<tr>
<td>ECON1210 Introductory Microeconomics (FCC)</td>
<td>6</td>
<td>ECON Core (1)</td>
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<tr>
<td>Mathematics requirement</td>
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<td>Free elective</td>
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<td>Semester Two</td>
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</table>

SEF strictly requires students to fulfill the pre-requisite requirements. Waiver of pre-requisites is in general NOT considered.
Programme Requirements – BEcon Programme

- Regulations
- Syllabus
- Course descriptions
# Suggested Order of Study - BEcon&Fin Programme

<table>
<thead>
<tr>
<th>Year 1</th>
<th>Course</th>
<th>Credits</th>
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</table>

SEF strictly requires students to fulfill the pre-requisite requirements. Waiver of pre-requisites is in general NOT considered.
Programme Requirements - B Econ & Fin Programme

- Regulations
- Syllabus
- Course descriptions
### Suggested Order of Study - BFin(AMPB) Programme

<table>
<thead>
<tr>
<th>Year 1</th>
<th>Course</th>
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<th>Course</th>
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</table>

**Total:** 60  **Total:** 60  **Total:** 60  **Total:** 60

**SEF strictly requires students to fulfill the pre-requisite requirements. Waiver of pre-requisites is in general NOT considered.**
Programme Requirements - BFin(AMPB) Programme

- Regulations
- Syllabus
- Course descriptions
Courses CANNOT be double-counted in the FBE major or minor programmes, except for the four Faculty Core Courses (FCC) in the major-major combination.

**Faculty Core Courses (FCC)**

(i) ACCT1101 Introduction to financial accounting  
(ii) ECON1210 Introductory microeconomics  
(iii) FINA1310 Corporate Finance  
(iv) A statistics course as prescribed in the syllabuses

If the overlapped FCC is part of requirements of another FBE major, you are required to take a free-elective in lieu of the overlapped FCC.

If the overlapped FCC is part of the requirements of an FBE minor, you are required to take a disciplinary elective (advanced level) in your minor in lieu of the overlapped FCC.

For other non-FCC overlapped courses, you are required to take a disciplinary elective (advanced level) in your 2nd major/minor in lieu of the overlapped course.
# FBE Double Counting Policy

## Combination of FBE Major and Minor Programmes

<table>
<thead>
<tr>
<th></th>
<th>Overlapping Course is a FCC</th>
<th>Overlapping Course is Not a FCC</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>1st Major + 2nd FBE Major</strong></td>
<td>You’re required to take <strong>FREE ELECTIVES</strong> in lieu of overlapped FCC.</td>
<td>You’re required to take <strong>DISCIPLINARY ELECTIVES</strong> (advanced) of your 2nd major in lieu of overlapped non-FCC.</td>
</tr>
<tr>
<td><strong>1st Major + FBE Minor</strong></td>
<td>You’re required to take <strong>DISCIPLINARY ELECTIVES</strong> (advanced) of your minor in lieu of overlapped FCC.</td>
<td>You’re required to take <strong>DISCIPLINARY ELECTIVES</strong> (advanced) of your minor in lieu of overlapped non-FCC.</td>
</tr>
</tbody>
</table>
# Double Counting (Example 1)

A **BEcon&Fin** student admitted in 2017-18 who has declared **1st major** in Economics and **2nd major** in Finance

<table>
<thead>
<tr>
<th>Overlapped Courses between the two MAJORS</th>
<th>Action</th>
</tr>
</thead>
<tbody>
<tr>
<td>Faculty Core Courses (FCC)-</td>
<td></td>
</tr>
<tr>
<td>(i) ACCT 1101</td>
<td>The student is required to take four FREE ELECTIVES in lieu of these four overlapped FCC.</td>
</tr>
<tr>
<td>(ii) ECON 1210</td>
<td></td>
</tr>
<tr>
<td>(iii) FINA 1310</td>
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</tr>
<tr>
<td>(iv) Statistics Requirement Course</td>
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</tr>
<tr>
<td>Other overlapped courses-</td>
<td></td>
</tr>
<tr>
<td>(i) ECON 1220</td>
<td>The student is required to take four DISCIPLINARY ELECTIVES (advanced) equally split between the two majors (i.e. 2 Economics and 2 Finance disciplinary electives), in lieu of these four overlapped non-FCC.</td>
</tr>
<tr>
<td>(ii) ECON 2280</td>
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<tr>
<td>(iii) ECON 4200</td>
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</tr>
<tr>
<td>(iv) Math Requirement course</td>
<td></td>
</tr>
</tbody>
</table>
Double Counting (Example 2)

A **B Econ** student admitted in 2017-18 who has declared

*1st major* in Economics and

*2nd major* in Quantitative Finance

<table>
<thead>
<tr>
<th>Overlapped Courses between the two MAJORS</th>
<th>Action</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Faculty Core Courses (FCC)-</strong>&lt;br&gt;(i) ACCT 1101&lt;br&gt;(ii) ECON 1210&lt;br&gt;(iii) FINA 1310&lt;br&gt;(iv) Statistics Requirement Course</td>
<td>The student is required to take four <strong>FREE ELECTIVES</strong> in lieu of these four overlapped FCC.</td>
</tr>
<tr>
<td><strong>Other overlapped courses-</strong>&lt;br&gt;(i) ECON 1220&lt;br&gt;(ii) ECON 2280&lt;br&gt;(iii) MATH 1013</td>
<td>The student is required to take three <strong>DISCIPLINARY ELECTIVES (advanced)</strong> of the 2nd major; i.e. Quantitative Finance, in lieu of these three overlapped non-FCC.</td>
</tr>
</tbody>
</table>
### Double Counting (Example 3)

A **BEcon** student admitted in 2017-18 who has declared **Compulsory Major** in **Economics** and **Optional Minor** in **Accounting**

<table>
<thead>
<tr>
<th>Overlapped Courses between the MAJOR and the MINOR</th>
<th>Action</th>
</tr>
</thead>
<tbody>
<tr>
<td>Faculty Core Courses (FCC)- (i) ACCT 1101 (ii) ECON 1210</td>
<td>The student is required to take two DISCIPLINARY ELECTIVES (advanced) of the minor; i.e. Accounting, in lieu of these two overlapped FCC.</td>
</tr>
</tbody>
</table>

**Rationale:** Double-counting of FCC does NOT apply to MAJOR-MINOR combination.
FBE Double Counting Policy

- Candidates who pursue major/minor offered by other Faculties should consult the offering Faculty for its double counting policy.

For enquiries
Please contact the Faculty Office
Email: fbe.undergrad@hku.hk

or refer to

the FBE Student Handbook
2017-18
BSc(QFin) Programme Requirements

Overview

BSc(QFin) Year 1 students need to take:

- Introductory Microeconomics (6 credits)
- Introductory Macroeconomics (6 credits)
- Introduction to Financial Accounting (6 credits)
- Corporate Finance (6 credits)
- University Mathematics II (6 credits)
- Multivariable Calculus and Linear Algebra (6 credits)
**Suggested Order of Study – BSc(QFin) Programme**

<table>
<thead>
<tr>
<th>Year 1</th>
<th>Year 2</th>
<th>Year 3</th>
<th>Year 4</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>1st semester</strong></td>
<td><strong>2nd semester</strong></td>
<td></td>
<td></td>
</tr>
<tr>
<td>1. CCxxxxxx Common Core</td>
<td>FINA 2322 Derivatives</td>
<td>Free Elective/minor/second major</td>
<td></td>
</tr>
<tr>
<td>2. ACCT 1101 Introduction to fin accounting (FCC)</td>
<td>FINA 2320 Investment and portfolio analysis</td>
<td>Free Elective/minor/second major</td>
<td></td>
</tr>
<tr>
<td>3. ECON 1210 Introductory microeconomics (FCC)</td>
<td>STAT 2601 Probability and statistics I (FCC)</td>
<td>Free Elective/minor/second major</td>
<td></td>
</tr>
<tr>
<td>4. MATH 1013 Univ math II</td>
<td>FINA 2322 Derivatives</td>
<td>Free Elective/minor/second major</td>
<td></td>
</tr>
</tbody>
</table>

**In Year 4, students shall complete**

(a) 8 courses in free elective, minor, or second major courses

(b) TWO of the following 9 courses, at least one of them must be a capstone course:

1. FINA4341 Quantitative risk mgt (capstone course)
2. FINA4354 Financial engineering (capstone course)
3. FINA3322 Credit risk
4. FINA3353 Regulatory and operational issues in finance
5. ECON3283 Economic Forecasting or
6. STAT4601 Time Series Analysis
7. MATH3405 Differential Equations
8. MATH3603 Probability Theory or
9. STAT3603 Probability Modelling

^ Can be taken before Year 4 if pre-requisites are satisfied. The 9 courses can be offered either in semester 1 or 2 in different academic years. Usually one capstone course is offered in each semester. Please check with the relevant offering departments.
Programme Requirements – BSc(QFin) Programme

- Regulations
- Syllabus
- Course descriptions
Pre-registration of Year 1 Core Courses

The Faculty Office will help all Year 1 students pre-register the core courses:

- ECON1210 Introductory Microeconomics
- ECON1220 Introductory Macroeconomics
- ACCT1101 Introduction to Financial Accounting
- FINA1310 Corporate Finance
Important Dates

Online course selection period for Freshmen:
August 23 (Wed) (10am) – August 28 (Mon) (4pm)

Add/drop period for 1st Semester:
August 31 (Thu) (10am) - September 13 (Wed) (4pm)

Add/drop period for 2nd Semester:
January 15 (Mon) (10am) – January 29 (Mon) (4pm)
Student Information System (SIS)

Course selection and add/drop via SIS
Add/Drop

Students are all given two weeks to add and drop any course -- the so-called add/drop period.

- Adding and dropping after the add-drop period (so-called late-add or late-drop) is normally NOT allowed.

- Late add or late drop after the first two weeks of add-drop period is allowed only under VERY SPECIAL circumstances that are beyond the control of the students.
Add/Drop

If students have genuine need to make amendments to the pre-registered course enrolment or late add/drop requests, please send a request with good justification to the FBE Office for consideration:

**Miss Cobe Fan** (BEcon/BEcon&Fin/BFin(AMPB) – cobefan@hku.hk) or **Miss Karen Wu** (BSc(QFin)) – karenck@hku.hk
Coverage:

- Degree components
- Programme requirements
- English Proficiency Tests
- Course selection
- Majors and Minors
Declaring Major / Minor

The compulsory major for **BEcon** students is Major in Economics.
- **BEcon** students are given an option to pursue second major or minor(s)

The compulsory majors for **BEcon&Fin** students are Major in Economics (first major) and Major in Finance (second major).
- **BEcon&Fin** students are given an option to pursue a minor
- Third major is **NOT** allowed

The compulsory major for **BFin(AMPB)** students is Major in Asset Management and Private Banking.
- AMPB students are given an option to pursue second major or minor(s)

**Major/Minor Programmes offered by FBE**
Major / Minor

Declaring Major / Minor

- **Declare** your Major(s) or Minor(s) in the SIS during the preliminary course enrollment period **in August before the start of the second year of study**.

- **Finalize** your declarations during the add/drop period **in September of your final year of study**. No additions / changes will be accepted after this specified period.

- You need to fulfill all the requirements for minor/second major by the time you fulfill the graduation requirements for your first major.
Coverage:

- Degree components
- Programme requirements
- English Proficiency Tests
- Course selection
- Majors and Minors
- Other information
Faculty Office

For **procedural** and **regulatory matters** (curriculum requirements, course selection, leave application, exemption, credit transfer, appeal against exam results...):

**UG Programme Office, FBE**  
Room 401, K.K. Leung Building  
Tel: 3917 5343  
E-mail: fbe.undergrad@hku.hk  
Website: [www.fbe.hku.hk](http://www.fbe.hku.hk)

**Useful Application Forms**
School Office

For meeting with **SEF teachers, Academic Advisors, Teaching Assistants**, or to seek academic advice:

**School Office**
Room 908, 9/F, K.K. Leung Building
Tel: 3917 1058
E-mail: enquiry@sef.hku.hk
Website: www.sef.hku.hk
FBE Orientation for New Students

To welcome all new students, you are invited to join the **FBE Orientation Reception**:

Date: Aug 10, 2017 (Thu)
Time: 2:30pm – 5:00pm
Venue: Rayson Huang Theatre, HKU

There will also be a **Tea Reception at 3:40pm – 5:00pm** for students to meet and talk to our teachers.
Q & A