

Course Descriptions of AMPB Courses

FINA3326. Equity valuation and investment management (6 credits)

This course covers the practical applications and issues of financial analysis, valuation, and investment of equities. Topics include, but not limited to, the basic analysis of financial statements; valuation models-e.g. DCF, free cash flows and other methods commonly used in the market; risk and return analysis; equity research and stock selection process; portfolio construction; active vs passive and other styles of investment; performance evaluation and appraisal. Students will get hands-on experience using most up-to-date market data and industry and company information available.

FINA3334. Private banking and wealth management (6 credits)

This course is an introduction to the fundamentals of private banking and wealth management for High Net Worth (HNW) individuals. Topics covered include, but are not limited to, an overview of the Asian private banking and wealth management industry with particular emphasis on the Greater China market; portfolio management, optimization and asset allocation; investment advisory and credit risk functions; tax and wealth planning tools for mobility, retirement and estate, including trusts, foundations, and insurance; behavioral finance; client relationship management; family office; business ethics and professional standards; and laws, regulations and compliance issues.

FINA3383 Ethics, laws, regulations and compliance (6 credits)

This course covers the key elements of ethics and professional standards, applicable laws, regulations, and compliance in the asset and private wealth management industry. Topics include, but not limited to, ethics, professional standards, and code of conducts and best practices, know your clients, sales and suitability, investor protection, anti-money laundering, timely and accurate communications, independence and objectivity, fair dealing, compliance issues and compliance handling, relevant local rules and regulations, and international best practices for investment professionals. Selected cases will be used for discussion in class.

FINA4321 Managing money in asset and private banking (6 credits)

This course allows students to integrate and apply what they have learned to real world situations. They are required to work on two projects. The first project is to analyze public listed companies in Hong Kong based on valuation models and techniques commonly used by investment and securities analysts. The second project is to design, construct and manage a portfolio based on a set of 5 guidelines and investment objectives. Industry experts will be invited to give presentations to supplement class lectures on selected topics related to equity analysis and research and portfolio management, e.g. practical issues on portfolio and asset allocations, global investment performance standards (GIPS), sustainable investments, etc.